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TRANSMITTAL	Filing Date	February 19, 2004
FORM	First Named Inventor	
1 OKW	Art Unit	Zubok et al.
(to be used for all correspondence after initial filing)	Examiner Name	Unknown
	Examiner Name	Not Yet Assigned
Total Number of Pages in This Submission 4	Attorney Docket Number	532/3X2 CIP
ENCLOSURES (Check all that apply) After Allowance communication		
Fee Attached Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s)	Drawing(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Addr Terminal Disclaimer Request for Refund CD, Number of CD(s)	to Technology Center (TC) Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please Identify below): One Sheet of Drawing Return Postcard
Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE C	OF APPLICANT, ATTORN	EY, OR AGENT
Firm or Mathew B. Dernier, Esq.		
Individual name		
Signature VIII-512		
Date May 4, 2004		
CERTIFICATE OF TRANSMISSION/MAILING		
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.		
Typed or printed name Matthew B. Dernier, Esq.		
Signature Yatt B		Date May 4, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



Attorney Docket No.: 532/3x2 CIP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Zubok et al.

Group Art Unit: Unknown

Application No.: 10/782,131

Examiner: Not Yet Assigned

Filed: February 19, 2004

For:

INSTRUMENTATION AND METHODS FOR

USE IN IMPLANTING A CERVICAL DISC

REPLACEMENT DEVICE

Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450

PRELIMINARY AMENDMENT PURSUANT TO 37 C.F.R. § 1.115

Sir:

Prior to examining the above-identified patent application, please amend the application as follows:

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: Commissioner for Patents, P.O. Bax 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: May 4, 2004

Signature:

Print Name: Matthew B. Dernier

Application No. 10/782,131

IN THE DRAWINGS

Pursuant to 37 C.F.R. § 1.121(d), Applicants respectfully request that the Examiner review and enter the attached drawing sheet containing FIGS. 4a-g. Please note that this drawing sheet does not replace any existing drawing sheet.